

As a below named inventor, I declare that:

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Lamp Reflector Substrate, Glass, Glass-Ceramic Materials and Process for Making The Same**.

The specification of which (check only one item below):

- ☒ is attached hereto
- ☐ was filed as United States Application Serial No. on \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)
- ☐ was filed as PCT international application number \_\_\_\_\_, on \_\_\_\_\_, and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, on the same subject matter, having a filing date before that of the application on which priority is claimed:

<input type="checkbox"/>	<b>Country:</b>	<b>Application No.:</b>	<b>Filing Date:</b>
<input type="checkbox"/>	<b>NONE</b>		

I hereby claim the benefit under Title 35 United States Code § 119(e) and § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37 Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<input checked="" type="checkbox"/>	<b>Provisional No.:</b> 60/459,845	<b>Filed:</b> April 1, 2003	<b>Status:</b> Pending
<input type="checkbox"/>	<b>Application No.:</b>	<b>Filed:</b>	<b>Status:</b>
<input type="checkbox"/>	<b>PCT Application No:</b>	<b>Filed:</b>	<b>Status:</b>

## DECLARATION IN ORIGINAL APPLICATION

U.S. Attorney Docket No.: SP03-034

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


**Full Name of Inventor:** William E. Horsfall

**Resident Address:** 2683B Spencer Hill Road, Corning, NY 14830

**Post Office Address:** same

**Citizenship:** United States

DATE: 3/16/04

  
\_\_\_\_\_  
William E. Horsfall

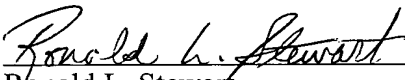
**Full Name of Inventor:** Ronald L. Stewart

**Resident Address:** 5 Cardinal Lane, Big Flats, NY 14814

**Post Office Address:** 5 Cardinal Lane, Elmira, NY 14903

**Citizenship:** United States

DATE: 3/16/2004

  
\_\_\_\_\_  
Ronald L. Stewart

(U.S.)

Attorney Docket No.: SP03-034

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Horsfall, et al

**Serial No.:** To Be Assigned

**Filed:** Herewith

**For:** Lamp Reflector Substrate, Glass, Glass-Ceramic Materials and Process for Making The Same

**COMBINED  
CERTIFICATE UNDER  
37 C.F.R. § 3.73(b)  
and**

**POWER OF ATTORNEY**

Commissioner for Patents  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee:

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(U.S.)

Attorney Docket No.: SP03-034

**POWER OF ATTORNEY BY ASSIGNEE**

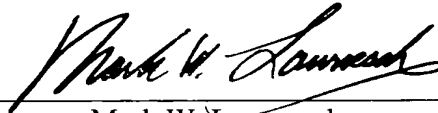
CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to the accompanying application for United States Letters Patent entitled **LAMP REFLECTOR SUBSTRATE, GLASS, GLASS-CERAMIC MATERIALS AND PROCESS FOR MAKING THE SAME** and executed by William E. Horsfall, Ronald L. Stewart, on March 16, 2004 and March 16, 2004, respectively, appoints the practitioners associated with the Customer Number provided below (i.e., the practitioners associated with the Intellectual Property Department, Corning Incorporated) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith. Please direct all correspondence to Siwen Chen at the address associated with that Customer Number.

**Customer Number: 22928**

CORNING INCORPORATED

Date: March 16, 2004

By



Mark W. Lauroesch  
Assistant Secretary

### ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Lamp Reflector Substrate, Glass, Glass-Ceramic Materials and Process for Making The Same**, such inventions being generally described in an application for Letters Patent of the United States executed on

*DATE* March 16, 2004

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and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

3/16/04  
Date

William E. Horsfall  
William E. Horsfall

3/16/2004  
Date

Ronald L. Stewart  
Ronald L. Stewart

State of New York)

: ss.

County of Steuben)

On the 16<sup>th</sup> day of March 2004, before me personally came **William E. Horsfall** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Colleen E. Doherty  
Notary Public

State of New York)

: ss.

County of Steuben)

On the 16<sup>th</sup> day of March 2004, before me personally came **Ronald L. Stewart** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Colleen E. Doherty  
Notary Public

COLLEEN E. DOHERTY  
Notary Public, State of New York  
Qualified in Steuben County No. 01DO6040673  
My Commission Expires April 24, 20 06

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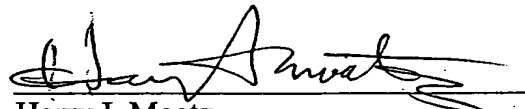
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: July 11, 2004**

  
Harry I. Moatz  
Director of Enrollment and Discipline